FORM	4
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Check this box if no
longer subject to
Section 16. Form 4 or
Form 5 obligations
may continue. See
Instruction 1(b).

(D) '

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)								1				
1. Name and Address of Reporting Person <sup>*</sup> BROUNSTEIN RICHARD D	2. Issuer Name and HeartBeam, Inc.		radin	ig Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) (First) C/O HEARTBEAM, INC.,, 2118 W. AVENUE, SUITE 210	3. Date of Earliest Tr 11/11/2021	ransaction (N	Aonth	n/Day/Yea	r)	X_Officer (give title below) Other (specify below) CHIEF FINANCIAL OFFICER						
(Street) SANTA CLARA,, CA 95050	4. If Amendment, Da	ate Original	Filed(	(Month/Day/Y	(ear)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Form:	7. Nature of Indirect Beneficial		
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)	Direct (D) O or Indirect (In (I) (Instr. 4)	Ownership (Instr. 4)		
Common Stock	11/11/2021		М		19,465 ( <u>1)</u>	А	\$ 4.2	82,343	Ι	See Footnote (2)		
Common Stock	11/11/2021		М		9,732 ( <u>3</u> )	А	\$ 4.2	92,075	Ι	See Footnote (4)		
Common Stock	11/11/2021		Р		5,000	А	\$ 5.99	97,075	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained SEC 1474 (9-02) in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	( <i>e.g.</i> , puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	Conversion	Date (Month/Day/Year)	Execution Date, if	Code		5. Number of		6. Date Exerci Expiration Dat (Month/Day/Y	te	1 1		Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form of Derivative Security: Direct (D) or Indirect	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Exercisable	Expiration Date	Title	Amount or Number of Shares				
Warrant (right to buy)	<u>(5)</u>	11/11/2021		А		5,000		11/11/2021	11/11/2026	Common Stock	5,000	\$6	5,000	D	

## **Reporting Owners**

		Relationships							
Reporting Own	Reporting Owner Name / Address		10% Owner	Officer	Other				
BROUNSTEIN F C/O HEARTBEA 2118 WALSH A' SANTA CLARA	M, INC., VENUE, SUITE 210			CHIEF FINANCIAL OFFICER					

### Signatures

\*\*Signature of Reporting Person

11/15/2021 Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares purchased from conversion of convertible note, principal and interest of \$81,753.
- (2) The underlying common stock is held by the R and S Brounstein Living Trust, of which the Reporting Person is a Trustee.
- (3) Represents shares purchased from conversion of convertible note, principal and interest of \$40,874.
- (4) The underlying common stock is held by CTRLCFO, LLC, of which the Reporting Person is the Manager.
- (5) The underlying warrant granted to the reporting person represents the right to purchase one share of common stock of HeartBeam, Inc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.