FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person      Persen Kenneth Harry					2. Issuer Name <b>and</b> Ticker or Trading Symbol HeartBeam, Inc. [ BEAT ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner					
					3. Date of Earliest Transaction (Month/Day/Year) 09/16/2022							X	Officer (gi		,,			
(Street) SANTA CLA	ARA CA		95050 Zip)	4.	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indiv	ndividual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person						
		7	able I - Non	-Derivat	ive S	ecuritie	s Acq	uired, D	isp	osed of,	or Benefi	cially Ow	ned					
Date				2. Transact Date (Month/Day	Execution Da		Date,	, Transaction Disposed Code (Instr.		ties Acquired (A) or d Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	V	Amount	(A) or (D)	Price	(Instr. 3 and				(	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ercise (Month/Day/Year) of ative	3A. Deemed Execution Date, if any (Month/Day/Year)	Code (li	Transaction Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number derivative Securities Beneficia Owned Following Reported Transacti	e s illy	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable		Expiration Date	Title	Amount or Number of Shares	(Instr. 4					
Stock option (right to buy)	\$1.91	09/16/2022		A		80,000 <sup>(1)</sup>		08/02/2023	(2)	09/16/2032	Common Stock	80,000	\$0	80,00	0	D		

## **Explanation of Responses:**

- 1. The options ("Options") have been issued from the Company's 2022 Equity Incentive Plan, that was approved by the Members of the Board of Directors on September 16, 2022.
- 2. The Option will vest and become exercisable with respect to 25% of the shares starting August 2, 2023 and one forty-eighth (1/48th) of the shares shall vest each calendar month thereafter on the same day of the month as the first vesting date. All Options shall accelerate and vest in full upon the Company's termination of the Reporting Person's engagement with the Company following a change in control.

## Remarks:

/s/ Ken Persen

10/07/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.